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1 Inflammasomes in the lung

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21 Abstract

Innate immune responses act as first line defences upon exposure to noxious stimuli. The 22 23 innate immune system has evolved numerous intracellular and extracellular receptors that 24 undertake surveillance for noxious stimuli. Inflammasomes are intracellular innate immune multiprotein complexes that form and are activated following interaction with these stimuli. 25 Inflammasome activation leads to the cleavage of pro-IL-1ß and release of the pro-26 27 inflammatory cytokine, IL-1 β , which initiates acute phase pro-inflammatory responses, and other responses are also involved (IL-18, pyroptosis). However, excessive activation of 28 inflammasomes can result in chronic inflammation, which has been implicated in a range of 29 30 chronic inflammatory diseases. The airways are constantly exposed to a wide variety of 31 stimuli. Inflammasome activation and downstream responses clears these stimuli. However, 32 excessive activation may drive the pathogenesis of chronic respiratory diseases such as severe 33 asthma and chronic obstructive pulmonary disease. Thus, there is currently intense interest 34 in the role of inflammasomes in chronic inflammatory lung diseases and in their potential for 35 therapeutic targeting. Here we review the known associations between inflammasomemediated responses and the development and exacerbation of chronic lung diseases. 36

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38 Abstract word length: 177

39 **Keywords:** Inflammasome, asthma, chronic obstructive pulmonary disease, IL-1β, lung

40	List of non-standard abbreviations:			
41	AAD	Allergic airways disease		
42	AHR	Airways hyperresponsiveness		
43	AIM	Absent in melanoma		
44	ALI	Acute lung injury		
45	Alum	Aluminium hydroxide		
46	ARDS	Acute respiratory distress syndrome		
47	BALF	Bronchoalveolar lavage fluid		
48	САР	Community acquired pneumonia		
49	CAPS	Cryopyrin-associated periodic syndrome		
50	Casp1	Caspase-1		
51	CARD	Caspase-recruitment domain		
52	CF	Cystic fibrosis		
53	CFTR	CF transmembrane conductance regulator		
54	COPD	Chronic obstructive pulmonary disease		
55	DAMP	Damage-associated molecular patterns		
56	HDM	House dust mite		
57	HIN	Haemopoietic IFN-inducible nuclear		
58	HMGB1	Chromatin-binding high motility group box 1 protein		
59	IAV	Influenza A virus		
60	LRR	C-terminal leucine rich repeats		
61	NBD	Nucleotide binding domain		
62	NLR	NOD-like receptor		
63	NOD	Nucleotide oligomerisation domain		
64	NLRC	NOD-like receptor containing		
65	NLRP	NOD-like receptor protein		

66	Ova	Ovalbumin
67	PAMP	Pathogen-associated molecular pattern
68	PRR	Pattern recognition receptor
69	PYD	Pyrin domain
70	PYHIN	Pyrin and haemopoietic IFN-inducible nuclear
71	ROS	Reactive oxygen species
72	SSI	Severe, steroid-insensitive
73	SLE	Systemic lupus erythematosus
74	TRAF	TNF receptor-associated factor
75	TXNIP	Thioredoxin-interacting protein
76		

77 **1. Introduction**

The mucosal surface of the lung is continuously exposed to noxious stimuli that can 78 79 activate host immunity. As a result, the immune system needs to strike a delicate balance 80 between immune-mediated clearance of these stimuli, and avoiding inadvertent self-harm from chronic inflammation. The term 'noxious stimuli' collectively refers to any stimulus that 81 82 is capable of causing a tissue-damaging event, such as infection and environmental exposures (e.g. allergens, smoke, pollution) (dos Santos et al., 2012; Medzhitov, 2008). The innate 83 immune system is an evolutionarily conserved, non-antigen specific system that is essential 84 85 for inducing and orchestrating acute inflammatory responses to infection. In the lung, innate 86 immune responses exert these effects through downstream signalling from numerous 87 germline-encoded pattern recognition receptors (PRRs), which are constitutively expressed by airway epithelial cells, alveolar macrophages, antigen presenting cells and neutrophils (dos 88 89 Santos et al., 2012; Hallstrand et al., 2014; Medzhitov, 2008). Cell surface as well as cytosolic 90 PRRs, such as Toll-Like Receptors (TLR)s and C-type lectins, continuously survey the 91 extracellular milieu and intracellular compartment, respectively, for pathogen-associated molecular patterns (PAMPs). 92

93 PAMP-recognising PRRs are split into various families based on their specificity, function and localisation. PRRs can also detect host derived damage-associated molecular patterns 94 (DAMP)s. PRR localisation is important in determining their function, and membrane bound 95 receptors such as Toll-like receptors (TLR)s recognise exogenous extracellular PAMPs or 96 97 DAMPs. Cytosolic PRRs receptors include the interferon-inducible pyrin and haemopoietic interferon (IFN)-inducible nuclear (PYHIN) family of proteins such as absent in melanoma 98 (AIM)2, which activate type 1 IFN responses, as well as the nucleotide oligomerisation domain 99 100 (NOD)-like receptors (NLRs) that all recognise PAMPs or DAMPs intracellularly. The recognition of noxious stimuli by these PRRs leads to the induction of innate immune 101 102 responses.

The NLR family recognise a variety of PAMPs as well as host-derived DAMPs (Becker and O'Neill, 2007; dos Santos et al., 2012; Dowling and O'Neill, 2012; Schroder and Tschopp, 2010). Recent studies have shown that several NLRs, namely NLR protein (NLRP)1, NLRP3 and NLR family caspase recruitment domain (CARD)-containing (NLRC4) can assemble into multiprotein complexes termed inflammasomes that recruit and activate pro-inflammatory caspases, such as Caspase-1 (Casp1). Inflammasome-activated Casp1 in turn cleaves pre-

109 cursor forms of pro-inflammatory cytokines in the interleukin (IL)-1 family to produce active
110 IL-1β and IL-18, and inactivates IL-33 (Martinon et al., 2002; Martinon et al., 2009; Schroder
111 and Tschopp, 2010; Stutz et al., 2009).

To date, five inflammasomes have been studied in detail; the NLR family members, NLRP1, NLRP3, NLRC4, and retinoic acid-inducible gene 1 (RIG-I), and AIM2. Following assembly and activation, all of these inflammasomes can enzymatically cleave and activate Casp1 in response to DAMP signalling (Dowling and O'Neill, 2012).

Clinical and experimental evidence increasingly and strongly implicates excessive 116 inflammasome activation and production of IL-1 β in the pathogenesis of several chronic 117 118 respiratory diseases, including severe, steroid-insensitive (SSI) asthma and chronic 119 obstructive pulmonary disease (COPD) (Aaron et al., 2001; Baines et al., 2011; Chung, 2001; 120 Hastie et al., 2010; Kim et al., 2014a; Kim et al., 2015; Konno et al., 1996; Simpson et al., 121 2014a; Wanderer, 2000). Here we review the current literature on the association between 122 inflammasome-mediated host innate immune responses and the development and 123 exacerbation of chronic lung diseases.

124

125 **1.1 IL-1β activation in the lung**

Through respiration the airway lumen is continually exposed to potentially pathogenic 126 micro-organisms (viral, fungal and bacterial) and environmental stimuli (exogenous noxious 127 pollutants such as cigarette, cooking or wood smoke, air pollution, particulate matter, silica, 128 129 asbestos or elemental metals). The homeostatic regulation of immune responses, and the ability to distinguish self from noxious stimuli, involves complex communication and inter-130 regulation between the innate and adaptive immune systems. Innate immunity relies on the 131 activation of germline-encoded PRRs to mount immediate responses to infectious and 132 noxious stimuli. Epithelial cells and activated macrophages and neutrophils are the first cells 133 to respond following PRR activation. They play critical roles in mediating the adaptive immune 134 response through the release of cytokines that promote the chemo-attraction of adaptive 135 immune cells. Thus, innate immune responses have significant bearing on the ensuing 136 adaptive immune response and, if unregulated, can result in severe pathological 137 consequences (Hirota and Knight, 2012; Schroder and Tschopp, 2010; Stutz et al., 2009; Yang 138 139 et al., 2012).

The NLRs are an important family of intracellular PRRs that can be activated by PAMPs 140 such as lipopolysaccharide (LPS) or DAMPs including those of endogenous origin, such as 141 adenosine tri-phosphate (ATP) and uric acid crystals that are released from lysed cells, and/or 142 143 DAMPs of exogenous origin, including inhaled silica, the adjuvant aluminium hydroxide (alum) and smoke, particulate matter and allergens (Eisenbarth et al., 2008; Hirota et al., 2012; 144 145 Hornung et al., 2008; Shi et al., 2003). The NLR family is comprised of 22 members that are characterised based on their C-terminal leucine rich repeats (LRR) domains and central NACHT 146 nucleotide-binding domains (NBD). The LRR domains detect NLR-specific ligands and, in the 147 absence of ligand-mediated activation, play an important role in the auto-inhibitory 148 149 regulation of inflammasomes. NLR family members are also categorised based on their N-150 terminal domains and the largest group, containing 14 members, possesses distinct N-151 terminal pyrin domains (PYD) (Dowling and O'Neill, 2012; Martinon et al., 2002; Schroder and 152 Tschopp, 2010; Stutz et al., 2009). To date 14 unique NLRP family members (termed NLRP1-153 14) have been identified and classified (Zhang et al., 2008). Some NLRs possess a common N-154 terminal CARD (e.g. NLRC4) and variations of NODs (e.g. NOD1 [NLRC1] and NOD2 [NLRC2]) (Dowling and O'Neill, 2012; Martinon et al., 2002; Schroder and Tschopp, 2010; Stutz et al., 155 156 2009). All the members of the NLR family have critical roles in the detection of noxious stimuli in the cytosol and, following ligation, induce the proteolytic cleavage and activation of IL-1 β . 157

IL-1β is a potent, pyrogenic, pro-inflammatory cytokine that plays important roles in 158 initiating acute inflammatory responses to infectious, noxious or cell damage-derived stimuli. 159 160 In the lung, activation of PRRs such as TLR2 or TLR4 induces the transcription of biologically inactive pro-IL-1 β . Pro-IL-1 β is primarily produced by macrophages but is also generated in 161 neutrophils, lymphocytes, airway epithelial cells and fibroblasts (Dinarello, 1994). Proteolytic 162 cleavage of pro-IL-1 β generates bio-active IL-1 β that is then released into the extracellular 163 milieu and exerts its pro-inflammatory effects by binding to the extracellular domain of the 164 ubiquitously expressed IL-1 receptor, type 1 (IL-1R)-1. Ligand-receptor interactions result in 165 166 the recruitment of the secondary receptor chain IL-1Racp (Sims et al., 1988). This initiates the formation of a receptor complex that recruits the pro-inflammatory adaptor molecules 167 myeloid differentiation primary response gene (MyD)88, IL-1R-associated kinase 1 (IRAK) and 168 tumour necrosis factor (TNF) receptor-associated factor (TRAF)6 (Greenfeder et al., 1995). In 169 170 turn, this results in the activation of the pro-inflammatory transcription factor nuclear factor 171 kappa-light-chain-enhancer of activated B cells (Nf-κB). This induces the release of TNF-α and IL-6, promotes the recruitment and activation of innate immune cells such as neutrophils and
macrophages, and further expression of pro-IL-1β (Greenfeder et al., 1995). Since active IL-1β
is secreted into the extracellular milieu it can exert pro-inflammatory effects through both
autocrine and paracrine mechanisms and, depending on the circulating levels, can lead to
severe systemic inflammation (Attur et al., 2000).

Activation and release of IL-1 β plays a major role in acute inflammatory responses to 177 respiratory infection and promotes clearance of pathogens. However, prolonged and 178 unmitigated activation and release can lead to chronic systemic inflammation such as that 179 180 observed in Muckle-Wells syndrome (also known as cryopyrin-associated periodic syndrome 181 [CAPS]) where a gain-of-function mutation in the NLRP3 gene leads to chronic activation of 182 IL-1β causing fever, skin rash, joint pain, and conjunctivitis (Broderick et al., 2015; Coll et al., 183 2015). Under normal conditions, the activation and release of IL-1 β occurs in a tightly 184 controlled two-stage process that requires an initial priming step provided by PAMP-185 mediated activation of PRRs (e.g. TLR4 ligation following exposure to LPS), and a secondary 186 activating signal provided by DAMPs (e.g. ATP) (dos Santos et al., 2012; Schroder and Tschopp, 2010; Takeda et al., 2003). This causes inflammasome assembly and activation and leads to 187 188 the proteolytic cleavage and activation of the protease zymogen, pro-Casp1, which was originally described as interleukin-converting enzyme (ICE) (Thornberry et al., 1992). 189 190 Intriguingly, recent research investigating the therapeutic potential of targeting this inflammasome–Casp1–IL-1β axis has focused on the development of monoclonal antibodies 191 192 that target IL-1β and/or IL-1R. Anakinra, an IL-1R antagonist, has been trialled in patients with 193 chronic rheumatoid arthritis, however, a study by Listing et al., showed that treatment increased the risk of infection compared to conventional therapy with disease modifying anti-194 195 rheumatic drugs (Listing et al., 2005).

Excess activation of the inflammasome can also lead to pyroptosis, which is a form of rapid lytic cell death. Sagulenko *et al.*, identified that dsDNA, another DAMP, increases the activation of the AIM2 inflammasome in a dose-dependent manner, leading to pyroptosis (Sagulenko et al., 2013). It is therefore important that inflammasome responses are tightly regulated in order to prevent the development of chronic inflammatory diseases.

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202 2. The NLRP3 inflammasome in the lung

NLRP3 is the best characterised and most widely implicated inflammasome in 203 inflammatory diseases of the lung. Activation of the NLRP3 inflammasome complex is 204 important for combating respiratory infections, however, excessive activation may contribute 205 206 to the development of severe disease. It is comprised of an NLRP3 domain that possesses a PYD central NBD and C-terminal LRRs (O'Connor et al., 2003), and an adaptor protein, 207 208 apoptosis-associated speck-like protein containing a CARD (ASC), which recruits and activates 209 pro-Casp1 (Mariathasan et al., 2004; Masumoto et al., 1999). Active Casp1 subsequently cleaves pro-IL-1 β and pro-IL-18 to their active secreted forms (Mariathasan et al., 2004). 210

211 In the lung, the NLRP3 inflammasome can be activated by a diverse range of microbial 212 pathogens, including opportunistic bacteria (Klebsiella pneumoniae, Streptococcus 213 pneumoniae and other spp. and Haemophilus influenzae (Mariathasan and Monack, 2007; 214 Rotta Detto Loria et al., 2013; Willingham et al., 2009)), atypical bacteria (Chlamydia (Abdul-215 Sater et al., 2010)) and viruses (including influenza A virus (IAV), vesicular stomatitis virus 216 (VSV) and adenovirus (AdV)) (Table 1) (Muruve et al., 2008; Rajan et al., 2011; Wang et al., 217 2014). A study by Costa et al., showed that NLRP3-deficient mice are more susceptible to group B Streptococci respiratory infection than wild-type mice (Costa et al., 2012). 218 219 Furthermore, Streptococcus-derived pneumolysin has been shown to induce NLRP3 220 inflammasome-mediated responses and downstream IL-17A and IFN-y responses to confer 221 protection against infection (McNeela et al., 2010). These studies suggest that the NLRP3 inflammasome plays a critical role in the clearance of respiratory infections. Furthermore, a 222 223 recent study by Tate et al., examined the temporal effect of NLRP3 inflammasome inhibition using the novel, highly specific NLRP3 inflammasome inhibitor, MCC950, in IAV infected mice. 224 They showed that inhibition of NLRP3 in the early phase of infection led to hyper-susceptibility 225 to lethality, whereas inhibition of NLRP3 at the peak of infection significantly protected mice 226 227 from severe disease (Tate et al., 2016). These data highlight that NLRP3 activation may be beneficial in acute phase inflammation in IAV infection, but may be detrimental in more 228 229 chronic inflammatory disease.

Inhaled environmental stimuli (such as smoke, asbestos, silica, metal alloys and particulate matter and air pollution), are also capable of activating the NLRP3 inflammasome (Hirota et al., 2015; Hirota et al., 2012; Kim et al., 2015), and several studies have linked exposure to airway pollutants with the development of chronic airway diseases. A recent study by Hirota *et al.*, showed that particulate matter derived from airway pollutants 235 collected from developed areas can activate the NLRP3 inflammasome in vitro (Hirota et al., 2012). This study showed that particulate matter up to 10μ M in diameter (PM₁₀) activated 236 the NLRP3 inflammasome in epithelial cells. The same team also reported that this could 237 238 occur through the induction of innate immune responses (Hirota et al., 2015). Other studies 239 have shown that endogenous DAMPs, such as extracellular ATP, can also activate the NLRP3 240 inflammasome (Mariathasan et al., 2006; Zhou et al., 2011). The presence of DAMPs in the airway is a strong indication of prior pathogenic insult resulting in cell lysis and/or chronic 241 inflammation. Aeffner et al., and others, recently showed that IAV, which infects the 242 243 respiratory epithelium and is a known inflammasome activator, causes the release of ATP into 244 the airway lumen, potentially highlighting the mechanism by which this virus activates the 245 NLRP3 inflammasome (Aeffner et al., 2011; Allen et al., 2009; Muruve et al., 2008). Several studies have shown that ATP and K⁺ efflux activates the NLRP3 inflammasome through the 246 247 P2Xpurinoceptor 7 (P2X₇R), which is a cation-permeable ligand-gated ion channel. ATP-248 mediated activation results in P2X₇R pore formation of the pannexin-1 hemi-channel that 249 then facilitates passive migration of extracellular PAMPs and DAMPs into the cytosol where they can induce the assembly and activation of the NLRP3 inflammasome (Chaudhuri et al., 250 251 2010; Kanneganti et al., 2007).

252 Recent studies have also highlighted a potential role for oxidative stress, induced by the production of reactive oxygen species (ROS), in promoting the assembly and activation of the 253 NLRP3 inflammasome. Zhou et al., showed that mitochondria-derived ROS (mtROS) induces 254 255 the activation of the NLRP3 inflammasome in the endoplasmic reticulum (Zhou et al., 2011). They also showed that mtROS contributes to the assembly of the NLRP3 inflammasome via 256 the thioredoxin and thioredoxin-interacting (TXNIP) complex. ROS interact with the TXNIP 257 complex and cause it to dissociate from thioredoxin. This allows dissociated TXNIP to bind 258 259 with NLRP3 that then recruits ASC and Casp1 to form the inflammasome complex (Zhou et al., 2010). It remains possible that one mechanism by which air pollution activates the 260 inflammasome in the lung is via the oxidative capacity of the components of particulate 261 matter (e.g. elemental metals and poly aromatic hydrocarbons). Collectively, these data 262 suggest that exogenously-induced oxidative stress and ROS and can also induce the assembly 263 of the NLRP3 inflammasome. 264

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266 3. The AIM2 inflammasome and the lung

The AIM2 inflammasome belongs to the PYHIN family of proteins and is comprised of an N-terminal PYD domain and one or two copies of a 200 amino acid repeat haemopoietic IFNinducible nuclear proteins (HIN) domain at its C-terminus (Dowling and O'Neill, 2012). The HIN motifs detect DNA of microbial origin and induce type 1 IFN responses and the expression of pro-IL-1β (Dowling and O'Neill, 2012).

272 Several studies have demonstrated that exposure to microbial or mammalian dsDNA leads to Casp1 activation in an AIM2-dependent manner (Fernandes-Alnemri et al., 2010; 273 Hornung et al., 2009; Muruve et al., 2008; Roberts et al., 2009; Schroder et al., 2009) (Table 274 275 1). Cytosolic dsDNA is detected by the HIN-200 domain of the AIM2 inflammasome that then, 276 through its PYHIN domain, recruits ASC and pro-Casp1. This results in the proteolytic cleavage 277 and activation of Casp1 and IL-1β (Hornung et al., 2009; Muruve et al., 2008; Roberts et al., 278 2009; Schroder et al., 2009). Fernades-Almenri et al., recently showed that AIM2-deficient mice exhibit increased mortality following Francisella tularensis respiratory infection 279 280 compared to wild-type controls, which indicated that AIM2 inflammasome-mediated 281 responses are required for effective clearance of infection. Since F. tularensis replicates in the cytosol of infected macrophages the authors concluded that pathogen-derived DNA is 282 283 detected by the AIM2 inflammasome during the process of its escape (Fernandes-Alnemri et 284 al., 2010). Collectively, these data suggest that AIM2 inflammasome-mediated responses are important in immune responses to microbial pathogens that produce dsDNA as part of their 285 replication strategy, and highlight the need for its further exploration. Given that increased 286 IL-1 β is a feature of many chronic respiratory conditions that are also associated with 287 respiratory infections, the further examination of the AIM2 inflammasome in these disease 288 289 contexts is warranted to elucidate whether it plays a pathogenic role in driving disease.

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4. Other inflammasomes in the lung

Our understanding of the roles of inflammasomes in the development of chronic lung diseases is in its infancy and research efforts to date have focussed primarily on characterising the roles of the NLRP3 and AIM2 inflammasomes. As a result, the functional roles of other inflammasomes in immune responses to respiratory infections and in the development and/or exacerbation of chronic lung diseases are yet to be resolved. The NLRP1 inflammasome was the first to be described and murine studies showed that it is directly activated by anthrax lethal toxin, which is produced by *Bacillus anthracis*, and is able to cleave 299 Casp1 in the absence of ASC (Boyden and Dietrich, 2006; Martinon et al., 2002) (Table 1). 300 Activation of NLRP1 by anthrax lethal toxin is required in mice for the maturation and release 301 of IL-1 β . This results in rapid cell death and is the causative agent of systemic anthrax toxicity 302 (Banks et al., 2006). The development of therapeutics specifically targeting this 303 inflammasome may be crucial in preventing the lethal toxicity of anthrax.

The NLRC4 inflammasome, also known as CARD12, has been mostly associated with the induction of pyroptosis (Dowling and O'Neill, 2012). However, in the lung it has been shown to be activated by cytosolic flagellin and the basal rod component of the type 3 secretion system found in a range of bacteria such as *Salmonella typhimurium*, *Shigella flexneri* and *Legionella pneumophila* (Sutterwala et al., 2007).

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310 **5. Regulation and activation of inflammasomes**

311 The precise mechanisms that lead to NLRP3 inflammasome activation remain poorly 312 defined. However, as mentioned previously this process consists of two distinct events, the 313 first of which involves the expression and assembly of inflammasome components (Schroder and Tschopp, 2010; Stutz et al., 2009). Increasing evidence shows that PAMP-induced TLR 314 315 ligation and increased levels of TNF- α are important in the initiation of the expression and assembly of NLRP3 inflammasome components as well as inducing pro-IL-1ß expression 316 through the activation of NF-κB (He et al., 2013; Hiscott et al., 1993; Martinon et al., 2002; 317 Martinon et al., 2009; Schindler et al., 1990a; Schindler et al., 1990b)) (Table 1). The 318 319 mechanism of NLRP3 activation involves its translocation to mitochondria and the induction of ROS is these organelles, K⁺-efflux and cathepsin release. However, this is an intense area of 320 investigation and recent studies have found new roles for NEK7 an NLRP3 binding proteins 321 and NIMA-related kinase (He et al., 2016). Interestingly, a study by Ikejima et al., 322 demonstrated that rabbits injected with recombinant IL-1ß produced endogenous IL-1ß, 323 indicating that IL-1 β responses can self-perpetuate (Ikejima et al., 1990). The authors also 324 325 showed that human peripheral blood mononuclear cells produced TNF- α in response to treatment with IL-1 β . Thus, in NLRP3 inflammasome-mediated responses, the induction of 326 pro-IL-1β expression constitutes a form of 'priming' that then requires a secondary round of 327 activation of assembled inflammasome components to drive the proteolytic pathway that 328 329 leads to the activation and release of IL-1β (Hornung and Latz, 2010). This represents an 330 important mechanism of immune regulation given that unmitigated activation and release of 331 IL-1 β and other IL-1 family members can have destructive consequences (Dinarello, 2009). 332 Many studies mimic this priming effect by pre-treating cultured cells *in vitro* with TLR agonists 333 and/or pro-inflammatory cytokines, such as LPS and TNF- α (Martinon et al., 2002; Schroder 334 and Tschopp, 2010; Stutz et al., 2009). Recent research has focused on characterising the role 335 of the NLRP3 inflammasome in disease development.

336 There is, however, a paucity of information relating to the role of other inflammasomes in disease and it is important to interrogate these further. Guarda et al., showed that type 1 337 IFNs are capable of suppressing NLRP1 and NLRP3 inflammasome activity, which resulted in 338 339 diminished Casp1-dependent IL-1 β maturation, suggesting that both of these inflammasomes 340 are equally important in activating IL-1 β (Guarda et al., 2011). Several studies have also 341 examined the role of autophagy, a normal cellular process that deals with the controlled degradation of defective organelles in the cell, and inflammasome activation. Saitoh et al., 342 343 showed that cells deficient in the autophagy-specific protein Atg16L1 had elevated 344 endotoxin-induced IL-1 β production (Saitoh et al., 2008). Further characterisation of the regulation of the other inflammasomes, such as the AIM2 inflammasome, is essential to 345 increase our understanding of their roles in the pathogenesis of chronic lung diseases. Our 346 understanding of the activation and regulation of inflammasomes is rapidly evolving and 347 348 therapeutic intervention thorough numerous avenues could be possible once their biology has been elucidated. 349

350

351 6. Inflammasomes in lung diseases

Whilst inflammasomes play crucial roles in the clearance of pathogens, aberrant 352 inflammasome-mediated IL-1 β responses are strongly implicated in the pathogenesis of a 353 wide range of human diseases such as metabolic dysregulation, systemic autoimmune 354 355 diseases such as systemic lupus erethematosus (SLE), inflammatory diseases of the skin and joints such as rheumatoid arthritis and gout, and chronic inflammatory lung diseases such as 356 asthma and COPD (Baechler et al., 2003; Martinon et al., 2006; Simpson et al., 2014a; Strowig 357 et al., 2012; Yang et al., 2015). Nevertheless, the roles of inflammasomes in the development 358 and exacerbation of lung disease are not well understood and further characterisation of the 359 mechanisms of activation of individual inflammasomes will provide greater insight into their 360 361 roles. Here we review the existing literature that links aberrant inflammasome activation with 362 the development, exacerbation and progression of chronic airway disease.

363

364 6.1 Inflammasomes in community acquired pneumonia

Community acquired pneumonia (CAP) refers to any form of pneumonia that is 365 366 contracted outside a hospital environment. It is a serious life-threatening condition and is the 367 leading cause of infectious disease mortality in many countries (Mizgerd and Skerrett, 2008). 368 Pneumonia is primarily caused by respiratory bacterial infection and results from fluid filling the alveolar spaces. Infection with S. pnemoniae is by far the most common cause of CAP (File, 369 2004). A recent study by Witzenrath et al., examined a S. pnemoniae infection murine model 370 371 and determined that the streptococcal exotoxin pneumolysin was essential for NLRP3 372 inflammasome activation (Witzenrath et al., 2011). Pneumolysin disrupts the plasma 373 membrane in cells leading to K⁺ efflux, which could lead to the activation of the NLRP3 374 inflammasome (Schroder and Tschopp, 2010). As described previously, mice deficient in 375 NLRP3 had increased mortality following S. pnemoniae infection, therefore the activation of 376 the NLRP3 inflammasome by this bacterium is likely to be essential for the clearance of 377 infection and may be an important factor in CAP (McNeela et al., 2010; Witzenrath et al., 2011). 378

379

380 6.2 Inflammasomes in asthma

381 Asthma is a chronic inflammatory disease of the airways that affects approximately 10% of the population in Westernised countries and 300 million sufferers worldwide (Akinbami et 382 al., 2012; Bateman et al., 2008; Hansbro et al., 2008; Hansbro et al., 2011; Wang et al., 2010). 383 The majority of asthmatic patients exhibit a mild to moderate form of the disease that is 384 characterised by T-helper lymphocyte type 2-mediated, eosinophil-dominated immune 385 responses (Hansbro et al., 2013; Shahidi and FitzGerald, 2010). Most commonly, asthma is 386 387 characterised as an allergic disorder and >50% of asthmatics have some form of atopy (Arbes et al., 2007). Increasing evidence now shows that asthma is a heterogeneous disease that is 388 389 associated with a range of phenotypes and endotypes (Hansbro et al., 2011; Hansbro et al., 390 2013). The majority of asthmatics can control their symptoms through the use of inhaled short-acting β -agonists and inhaled corticosteroids. However, some asthmatics have 391 persistent airflow obstruction, more frequent exacerbations and remain symptomatic despite 392 high doses of these drugs (Bell and Busse, 2013). These asthmatics are more likely to have 393 394 severe asthma that is insensitive to steroid therapy and are more commonly associated with non-eosinophilic endotypes of disease (Hansbro et al., 2011; Wang et al., 2010; Wood et al.,
2010).

397 Several studies have highlighted potential roles for the NLRP3 inflammasome in the 398 development of allergic asthma. The inflammasome activator ATP has been shown to be 399 elevated in the airways of asthmatics compared to non-asthmatics, and is further increased 400 following challenge with allergen (Idzko et al., 2007; Muller et al., 2011). Experimental studies 401 using murine models of allergic airways disease (AAD) are being used to elucidate the role of the inflammasome in the development of allergic asthma. These murine models typically 402 403 involve systemic sensitisation to model protein allergens (e.g. ovalbumin [Ova]) in the 404 presence of the Th2-inducing adjuvant alum, which is a known activator of the NLRP3 405 inflammasome (Hornung et al., 2008). A study by Eisenbarth et al., used NLRP3-deficient mice 406 to demonstrate that this inflammasome is required for adjuvanticity of alum in allergic 407 antibody responses to antigen (Eisenbarth et al., 2008). Another study demonstrated the 408 importance of NLRP3 in allergic airway inflammation using an adjuvant (alum)-free Ova 409 model. Besnard *et al.*, used mice deficient in NLRP3, IL-1 receptor (IL-1R)1, IL-1 β or IL-1 α to demonstrate critical roles for NLRP3-mediated IL-1β responses in Ova-induced allergic airway 410 411 inflammation. They also reported that each of these factor-deficient mice exhibited marked 412 decreases in the production of Ova-induced, Th2-associated cytokines (Besnard et al., 2011). 413 Primiano et al., further implicated the NLRP3 inflammasome in driving AAD, by showing that therapy with the NLRP3 specific inhibitor, MCC950 reversed neutrophilic inflammation in AAD 414 415 (Primiano et al., 2016). In contrast, a different study by Kool et al., demonstrated that uric acid potently induces Th2 cell immunity in an NLRP3-independent, PI3Kδ-dependent manner 416 (Kool et al., 2011). These findings are supported by a study by Allen et al., which showed that 417 WT and NLRP3-deficient mice exhibited no differences in the key features of acute or chronic 418 419 Ova-induced AAD including eosinophilic airway inflammation, mucus hypersecretion and airways hyperresponsiveness (AHR) (Allen et al., 2012). Similar observations have recently 420 421 been found with a combined particulate matter/Ova-induced model (Hirota et al., 2015). Taken together, the role of the NLRP3 inflammasome in the pathogenesis of allergic asthma 422 remains to be elucidated. 423

It is likely that additional challenges, such as particulate matter or infections are needed
to drive inflammasome activation and more severe disease (Hansbro et al., 2011; Hansbro et
al., 2013). Recent clinical studies have identified that moderate to severe asthmatics have

increased Th1 and/or Th17 type, monocyte- or neutrophil-dominated immune responses in
their airway secretions (Baines et al., 2011; Simpson et al., 2007; Simpson et al., 2014a). This
has led to an increased focus on the development of more targeted therapies, particularly as
monocyte/neutrophil dominated asthma is more likely to be resistant to mainstay antiinflammatory corticosteroid therapy (Hansbro et al., 2011; Hansbro et al., 2013).

432 Recently, there has been an intense focus on elucidating the mechanisms of SSI asthma and an increasing number of clinical and experimental studies strongly, and specifically, 433 implicate NLRP3 inflammasome activation and/or excess IL-1 β production in the pathogenesis 434 435 of this disease (Baines et al., 2011; Besnard et al., 2012; Essilfie et al., 2011; Hastie et al., 2010; 436 Kim et al., 2014a; Kim et al.; Kim et al., 2016; Kim et al., 2014b; Konno et al., 1996; Simpson 437 et al., 2014a; Starkey et al., 2013a; Starkey et al., 2014). Baines et al., used gene expression profiling of induced sputum to identify distinct gene signatures in different asthmatic 438 439 inflammatory endotypes. The expression of genes associated with the IL-1 β signalling 440 pathway, such as IL-1 β , IRAK2, IRAK3, IL-1R2, were significantly increased in the sputum of 441 neutrophilic asthmatics, which are more likely to be associated with severe asthma (Baines et al., 2011). More recently, Simpson et al., showed that neutrophilic asthmatics have increased 442 443 levels of NLRP3, Casp1 and IL-1β expression in the airways, and macrophages and neutrophils 444 were the dominant cellular sources of NLRP3 and Casp1 in this cohort (Simpson et al., 2014a). These asthmatics also had elevated expression of TLR2, TLR4, and IL-8 and increased levels of 445 LPS suggesting that innate immune activation in asthma may drive aberrant inflammasome 446 447 activation (Simpson et al., 2014a).

Substantial clinical evidence links bacterial respiratory infections with SSI asthma. 448 Chlamydia pneumoniae is an obligate intracellular bacterial pathogen that is associated with 449 450 SSI asthma. Chlamydia-associated asthma is less responsive to steroid treatment, and acute 451 antibody responses to *Chlamydia* strongly predicted the presence of neutrophils in sputum in these patients (Cho et al., 2005; Patel et al., 2010; Wark et al., 2002). Airway neutrophilia also 452 positively predicted the presence of *Chlamydia* infection in SSI asthma (Patel et al., 2010). 453 Studies of *Chlamydia* are difficult due to the complexity of detection and the need to sample 454 the lower respiratory tract, where it prefers to grow. This may explain some negative studies. 455 *H. influenzae* is a Gram-negative bacteria that is the most commonly isolated bacterium from 456 457 the airways of SSI asthmatics (Simpson et al., 2007; Wood et al., 2010) compared to mild to 458 moderate asthmatics. We have developed and used experimental models of SSIAAD to assess

the effect of Chlamydia and H. influenzae respiratory infection on the development of SSIAAD, 459 to better understand their potential role in SSI asthma. We have shown that both infections 460 induce neutrophilia, and Th1 and/or Th17 responses and SSIAAD (Essilfie et al., 2015; Essilfie 461 462 et al., 2012; Essilfie et al., 2011; Horvat et al., 2010a; Horvat et al., 2010b; Kim et al.). 463 Significantly, both Chlamydia and Haemophilus respiratory infections induce the release of 464 active IL-1 β in an NLRP3 inflammasome-dependent, Casp1-mediated manner (Essilfie et al., 2015; Essilfie et al., 2011; He et al., 2010; Horvat et al., 2010b; Kim et al.; Rotta Detto Loria et 465 al., 2013). Collectively, these data suggest that infection-induced, inflammasome-mediated 466 467 IL-1 β responses may play a key role in the development of SSI asthma.

468 Emerging evidence shows that systemic inflammation (determined through increased 469 serum levels of IL-6 and TNF- α) as a result of high-fat diet and/or obesity is associated with 470 severe asthma. Obese asthmatics are more likely to have severe disease and SSI asthma 471 (Forno et al., 2011; Gibeon et al., 2013; Scott et al., 2016). A recent study by Scott et al., 472 showed that obese asthmatics had increased levels of systemic IL-6 and C-reactive protein, 473 and that these factors were positive predictors of neutrophilia in female obese asthmatics, compared to non-obese female asthmatics (Scott et al., 2016). Importantly, IL-1β levels are 474 475 significantly increased in the plasma of overweight (BMI of 25-29.9) and obese (BMI ≥30) 476 women, compared to females in the normal weight range (Um et al., 2004), and obese asthmatics, particularly obese females, are more likely to have severe asthma (Forno et al., 477 2011; Gibeon et al., 2013; Lefaudeux et al., 2016; Scott et al., 2016). Recently, Kim et al., 478 479 assessed the mechanisms of obesity-induced AHR in an experimental murine model of high fat diet-induced obesity (Kim et al., 2014b). They showed that obese mice had increased AHR 480 in the absence of allergic sensitisation compared to non-obese control mice. They also 481 demonstrated that AHR in this model was driven by aberrant NLRP3 inflammasome-482 dependent responses in the adipose tissue, which contributed to an induction of innate 483 lymphoid cells and increased IL-17 responses in the lung that led to spontaneous AHR (Kim et 484 485 al., 2014b). These experimental data highlight a key mechanism by which this inflammasome may contribute to AHR in severe asthma, in the absence of allergic disease. Everaere et al., 486 recently extended these findings by showing that obese mice with house dust mite (HDM)-487 induced AAD had worsened AHR compared to non-obese, HDM allergen-challenged mice 488 489 (Everaere et al., 2016). Nevertheless, the roles of inflammasomes in obese AAD are yet to be 490 fully defined.

Further investigations and specific targeting of inflammasomes in the airways of allergen challenged mice, particularly in the context of SSI asthma, is required to improve the understanding of how NLRP3 inflammasomes contribute to the development of severe asthma.

495

496 **6.3 Inflammasomes in COPD**

497 COPD is a progressive, obstructive disease of the lungs that encompasses several conditions, including chronic bronchitis and emphysema, it is now the third leading cause of 498 499 death worldwide and its prevalence is increasing (Chapman et al., 2006; Fricker et al., 2014; 500 Keely et al., 2012; Lozano et al.). Significantly, several clinical studies have shown that IL-1 β 501 levels are elevated in the lungs of patients with COPD and that these levels increase further 502 during exacerbations of disease (Aaron et al., 2001; Chung, 2001). Clinical studies have also 503 shown that cigarette smoke induces the release of IL-1 β in the lung (Kuschner et al., 1996; 504 Pauwels et al., 2011). This is supported by data from mouse models that have shown 505 increased lung IL-1β expression during cigarette smoke-induced experimental COPD (Beckett et al., 2013). Pauwels et al., interrogated a murine model of cigarette smoke-induced 506 507 pulmonary inflammation and showed that airway inflammation was significantly attenuated through the neutralisation of IL-1β (Pauwels et al., 2011). Cigarette smoke contains over 4,000 508 toxins, including LPS, which are capable of triggering innate immune responses through PRR 509 activation. These data therefore suggest that aberrant inflammasome activation may play an 510 511 important role in the pathogenesis of COPD.

Pouwels et al. showed that cigarette smoke exposure induces necroptosis, a form of 512 programmed necrosis, of airway epithelial BEAS-2B cells in vitro that results in the release of 513 endogenous DAMPs (Pouwels et al., 2016). Indeed, chromatin-binding high motility group box 514 515 1 protein (HMGB1) is a DAMP that can occur at high levels in the airways. HMGB1 levels are elevated in the sputum and bronchoalveolar lavage fluid (BALF) of patients with COPD 516 517 (Ferhani et al., 2010; Hou et al., 2011). Significantly, HMGB1 has been shown to activate the NLRP3 inflammasome in a TLR4-dependent manner in a model of haemorrhagic shock 518 519 syndrome (Xiang et al., 2011) suggesting that cigarette smoke-induced, HMGB1-mediated activation of the inflammasome may play an important role in the pathogenesis of COPD. 520

521 Further mechanistic studies by Franklin *et al.*, showed that inflammasome responses may 522 also be mediated by the accumulation of ASC specks in the lungs of patients with COPD

(Franklin et al., 2014). ASC is an essential component of the NLRP3 and AIM2 inflammasomes 523 and is essential for Casp1 recruitment. Chronic activation of the inflammasome that results in 524 pyroptosis leads to the release of ASC specks, which have prion-like activity. They accumulate 525 526 in extracellular spaces and retain their ability to mature IL-1β in the extracellular environment 527 (Franklin et al., 2014). These ASC specks are then readily phagocytosed by macrophages and 528 induce the production of IL-1 β in these cells. Most importantly, ASC specks are upregulated in BALF from COPD patients and murine models of cigarette smoke-induced COPD (Franklin 529 et al., 2014). These studies indicate that ASC specks may drive aberrant inflammasome 530 531 responses and play an important role in the pathogenesis of COPD. In contrast, Di Stefano et 532 *al.*, found no correlation between NLRP3, Casp1 and IL-1β responses in a cohort of stable 533 COPD patients compared to healthy smokers in a randomised control trial although they proposed that they would be relevant in exacerbations (Di Stefano et al., 2014). Collectively, 534 535 these data highlight that the inflammasome may not be playing a role in stable COPD, 536 however, these studies do not assess the precise role of the inflammasome during the 537 development, progression or exacerbation of disease, which may be the critical issue. Thus, the role of the NLRP3 inflammasome in COPD is complex and warrants further investigation 538 539 to delineate its roles. The use of mouse models that accurately replicate the major hallmark features of cigarette smoke-induced COPD and exacerbations in a reasonable time frame and 540 in parallel with complementary human studies will be valuable in elucidating the mechanisms 541 involved, identifying new therapeutic targets and testing new therapies in this and other 542 543 respiratory diseases (Beckett et al., 2013; Conickx et al., 2016; Franklin et al., 2014; Fricker et al., 2014; Hansbro et al., 2014; Haw et al., 2016; Hsu et al., 2015; Jarnicki et al., 2016; Liu et 544 al.; Simpson et al., 2014b; Starkey et al., 2013b; Tang et al., 2016; Tay et al., 2015) (Franklin et 545 al., 2014). Although not discussed here the role of the lung and gut microbiomes may also 546 547 play significant roles in inflammasome activity in these diseases, which could be elucidated using similar strategies (Budden et al., 2016; Chambers et al., 2014; Ormerod et al., 2016). 548

549

550 **6.4 Inflammasomes in other chronic airway diseases**

551 6.4.1 Pulmonary fibrosis

552 Pulmonary fibrosis refers to a range of lung disorders characterised by irreversible 553 destruction and remodeling of lung architecture that occurs as a result of excess deposition 554 of collagen and extracellular matrix proteins. This results in scarring of the airways, which 555 leads to the significant breathing difficulties that are characteristic of the disease (dos Santos et al., 2012). The role of the inflammasome in the pathogenesis of pulmonary fibrosis is 556 unclear, however, it is known that fibrosis-inducing irritants injure the lung epithelium (e.g. 557 558 silica, asbestos, cigarette smoke and bleomycin), and these are also known to directly activate 559 the NLRP3 inflammasome (Dostert et al., 2008; Gasse et al., 2007; Hornung et al., 2008). IL-560 1β secretion also promotes the production of TGF- β 1, a potent pro-fibrotic cytokine (Liu, 2008), and promotes neutrophil chemoattraction, which may contribute to epithelial 561 damage. However, the precise mechanisms of inflammasome-mediated pathogenesis in 562 563 pulmonary fibrosis are yet to be elucidated.

564

565 6.4.2 Cystic fibrosis

566 Cystic fibrosis (CF) is a debilitating lung disease that is caused by a genetic mutation in 567 the gene encoding the CF transmembrane conductance regulator (CFTR) (Caplen et al., 1995). 568 CFTR is a chloride ion transport channel that is defective in patients with CF. This results in 569 salt imbalances and excess accumulation of mucus in the lung that significantly increases the risk of infections. The role of the inflammasome is not well understood in this disease, 570 571 however, the CFTR gene has been implicated as an important regulator of IL-1 β release 572 (Reiniger et al., 2007). A recent study by lannitti et al., used in vitro and in vivo models to assess the importance of the NLRP3 and NLRC4 inflammasomes in the clearance of infections 573 in CF (Iannitti et al., 2016). However, they show that the deleterious effects of inflammation 574 575 caused by inflammasome activation are caused by NLRP3, which correlates with defective NLCR4-IL-1R1 responses (Iannitti et al., 2016). These data distinguish important differences in 576 the roles of the NLRP3 and NLRC4 inflammasome in CF. They highlight that the activation of 577 different inflammasomes can contribute to inflammation in different ways, highlighting some 578 579 uniqueness in inflammasome-specific immune responses.

580

581 6.5.2 Acute respiratory distress syndrome

Acute respiratory distress syndrome (ARDS) refers to acute lung injury (ALI) in its most severe form. ARDS can occur as a secondary complication of a range of different disorders such as sepsis, ischemia, and trauma. It is a severe disease and these patients have a survival rate of ~25% (Spragg et al., 2010). IL-18 is significantly increased in the blood of ARDS patients, highlighting a potential role of inflammasome activation in this disease. Murine models of 587 LPS-induced ALI, which model ARDS, have determined that extracellular ATP is an important neutrophil chemoattractant in the late phase of injury, and specifically targeting this factor 588 589 could limit damage induced by these cells. It is likely that the events observed in this model 590 are driven by aberrant NLRP3 inflammasome activation (Shah et al., 2014), where extracellular ATP activates the NLRP3 inflammasome via the P2X₇R. Significantly, Wang et al., 591 592 recently showed that pharmacological inhibition of $P2X_7R$ suppresses the production IL-1 β and Casp1, and ameliorates the key features of experimental ALI (Wang et al., 2015). These 593 data highlight the importance of the NLRP3 inflammasome in this form of chronic lung disease 594 595 and further support therapeutically targeting it.

596

597 **7. Conclusions**

Inflammasomes play a critical role in early innate immune responses particularly duirng 598 599 the resolution of infections in the lung. However, excessive inflammasome activation has 600 been associated with several major chronic inflammatory conditions. Whilst aberrant NLRP3 601 inflammasome responses are associated with SSI forms of asthma, COPD studies have not clarified the causal nature of the relationship, let alone interrogated the potential for 602 603 therapeutic targeting of the NLRP3 inflammasome. Much of this is due to the lack of understanding of upstream drivers of inflammasome assembly and activation, and use of 604 605 representative animal and experimental models that recapitulate the hallmark features of disease. These are crucial in understanding the molecular mechanisms of action of the NLRP3 606 607 inflammasome in chronic airway disease and developing and testing new therapies. Furthermore, it will be important to assess the contribution of other inflammasomes, such as 608 the AIM2 inflammasome, in the pathogenesis and exacerbation of these diseases. This is a 609 610 nascent field of enquiry that requires further investigation in order to elucidate the relative 611 contributions of the different inflammasomes and other IL-1β-activating mechanisms in the pathogenesis of disease. Current therapeutic strategies that globally target IL-1β, such as the 612 613 Canakinumab, Anakinra and Rilanocept biologics, rather than target excess production it in a pathway-specific way, may predispose to increased infection and are only delivered 614 systemically, rather than tissue-specifically, which will increase off-target effects. For the 615 treatment of excess IL-1ß responses, the development of inflammasome-mediated, site-616 617 specific therapeutics may be more beneficial in suppressing inflammasome-associated 618 disease, whilst not predisposing to infection. To achieve this we need a greater understanding

- 619 of the molecular mechanisms driving inflammasome-associated disease, which may be
- 620 informed through the development and use of representative in vivo models and
- 621 complementary human studies.
- 622

623 8. References

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1119 **Table 1.** Exogenous activators of the inflammasome in the lung

	1	1	
Inflammasome	Activated by	Lung disease	Reference
NLRP1	Anthrax lethal toxin	Anthrax causing	(Banks et al., 2006)
		pneumoniae and	
		severe respiratory	
		collapse	
NLRP3	S. pneumoniae	Resolution of	(Mariathasan and
		Community acquired	Monack, 2007)
	K. pneumoniae	pneumonia (CAP)	
	Chlamydia spp.	Severe. steroid-	(Essilfie et al., 2015:
		insensitive (SSI)	He et al., 2010; Rotta
	H. influenzae	asthma	Detto Loria et al
			2013)
			,
	Influenza A virus	Resolution of	(Thomas et al., 2009)
		influenza	(
	Asbestos		(Dostert et al., 2008)
	Silica	Asbestosis	(,
			(Hornung et al., 2008)
		Silicosis	
	Particulate matter		(Hirota et al. 2015)
	(PM ₁₀)	Asthma	Hirota et al 2012)
		Astima	1110ta et al., 2012)
	Cigarette smoke		(Vangetal 2015)
		Chronic obstructive	(Tang et al., 2015)
		nulmonany disorder	
			(M) and ot al. 2015)
	Lipopolysaccharide		(waiig et al., 2013)
	(LPS)	Acuto lung inium (ALI)	
A 18 4 2	E tulanonoi-	Acute lung injury (ALI)	
AIIVIZ	r. tularensis	Resolution of CAP	(Fernandes-Ainemri et
			ai., 2010)

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Fig 1. Proposed model for the triggering of inflammasomes that leads to the development of severe, steroid-insensitive (SSI) asthma and potentially other respiratory diseases. Infection and/or exposure to infections, allergens, cigarette smoke, airway pollutants or other noxious stimuli in the asthmatic airway triggers the assembly and activation of the NLRP3 inflammasome in the lung. This results in the cleavage of pro-IL-1β into active IL-1β leading to increases in Th1- and/or Th17-associated responses and neutrophils in the airways. This contributes to the development of inflammation, mucus hypersecretion and airways hyperresponsiveness (AHR), which are resistant to steroid therapy. In the

- 1129 obese asthmatic lung, obese adipose tissue contributes to the activation of the NLRP3 inflammasome
- 1130 systemically. This results in an increase in systemic inflammation which activates the NLRP3
- 1131 inflammasome in the lung, resulting in steroid-insensitive asthma.